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# Feature Extraction Based Face Recognition Using Extreme Learning Machine (ELM)

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**Abstract** - In recent years, Face recognition becomes one of the popular biometric identification systems used in identifying or verifying individuals and matching it against library of known faces. Biometric identification is an actively growing area of research and used in electronic commerce, electronic banking, electronic passports, electronic licences and security applications. Face recognition finds its application in wide variety of areas like criminal identification, human - computer interaction, security systems, credit- card verification, teleconference, image and film processing. This paper suggests an automated face recognition system which extracts the features from the face. Feature extraction process includes locating the position of eyes, nostrils and mouth and determining the distances between those regions. From the extracted features, a database is created for known individuals. A virtual neural network is created based on Extreme Learning Machine (ELM).

**Keywords** - *Biometric identification, Face recognition, Feature extraction, ELM, FAR, FRR.*

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## I. INTRODUCTION

Biometrics is a measurable physiological or behavioral characteristic of an individual used in personal identification and verification. It includes fingerprint, iris, face, voice, palm symmetry, hand geometry and so on. Biometric identification has significant advantages over other authentication techniques because biometrics characteristics are not easily modifiable and are unique. Fingerprint recognition has been widely used because it is cost affordable and best utilized in small-scale verification systems. This recognition method finds applications in mobile phones, computers, Employees identification scheme, etc. But this method encounters problems like some fingerprints are unsuitable for use due to cuts or other defects. Also artificial finger straps are readily available in the market makes the recognition process difficult or identifying the wrong individual. Iris recognition has evolved in recent years which eliminate the problem in fingerprint mechanism. The accuracy and speed of iris systems allows this technique implementing in a large scale system. The iris of each person is distinctive and even identical twins have different patterns. Since it is extremely difficult to alter the texture of the iris through surgery, it would be difficult for someone to provide wrong identifications. Also it is relatively easy for the system to detect when an artificial iris specially made by contact lens, is being used to gain identification. But iris recognition also encounters some difficulties in the verification applications. To overcome such difficulties in fingerprint and iris recognition techniques, Face recognition comes into existence in the modern world of artificial intelligent systems.

## 1.1 FACE RECOGNITION

Face recognition field has achieved a significant growth over the past few years. It is the popular area of research for more than 3 decades in computer vision and the most successful applications of image analysis. Several companies offer face recognition software that can produce high-accuracy results with a large database. Recent research involves developing approaches that accounts for changes in lighting, expression, and aging, for a given person. Also, researches under this field include dealing with glasses, facial hair, and makeup.

Two predominant approaches in face recognition system are geometric feature- based and appearance-based. The geometric feature based approach uses the properties of facial features such as location of eyes, nose, mouth, chin and their relations for face recognition descriptors. The appearance-based face recognition approach operates directly on image based representation. The whole face region is the raw input to a recognition system and the facial features are processed as templates. Face recognition is commonly used in two ways, Face identification and Face verification [8]. First refers one to many matches and next refers one to one matching. The automated methods of facial recognition work very well, but it do not recognize persons effectively as a human brain. Regarding face recognition problems, it also encounters the combined variations in illumination, pose, expression, spectacles, and optimization of training databases and also needs the real-time requirements.

## 1.2 EXTREME LEARNING MACHINE (ELM)

ELM is one of the virtual neural networks, provides less training time and high accuracy. It is a sequential learning algorithm where the training observations are sequentially used as single data block or data with varying or fixed length in the learning algorithm. At any time, only the new observations are seen and learned. The training data are discarded as soon as the learning procedure for that particular data is completed [4].

In this project, ELM is used for training and testing databases of the face images. For recognition process, 35 images are taken into consideration. 9 images are used for training process and the remaining for testing process. Number of hidden nodes is manually entered. Input weights and bias are randomly assigned based on the inputs and hidden neurons. The created face database is trained using ELM network and matching is performed with test images. ELM works well even for small set of database. As the number of hidden neurons gets increased, high accuracy is achieved.

## II. LITERATURE SURVEY

Atefe Assadi and Alireza Behrad [1] proposed a method for Face recognition using Texture and depth information. This method provided a 3D approach for recognizing faces under pose variation and different illumination conditions. Scale-invariant feature transform (SIFT) descriptors are used to extract the facial feature points and compared with the database. They also calculated the matching points using SIFT feature vector. Input face image with maximum matching points is recognized as known face.. This method provided 88.96% recognition rate.

Ramesha K et al [5] proposed a Feature Extraction based Face Recognition, Gender and Age Classification (FEBFRGAC) algorithm. In this paper, recognition process was performed based on the geometric features based on the symmetry of human faces and the variation of gray levels, the positions of eyes, nose and mouth were extracted and located by applying the Canny edge operator. The gender was classified based on posteriori class probability and age was classified based on the shape and texture information using Artificial Neural Network. This algorithm provided face matching ratio is 100%, gender classification is 95%, and age classification is 90%.

Shermina J [6.a] proposed a face recognition system based on Multi linear principal component analysis (MPCA) and Locality preservation projection (LPP). In this paper, after face image preprocessing, dimensionality reduction is performed using MPCA. Features were extracted using LPP which provided nearest neighbor search in the low

dimensional space. Recognition was performed by using L2 similarity distance measure, computed between the database image and the query image. High recognition rate was achieved by combining both the MPCA and LPP.

Shermina J [6.b] proposed a Face recognition system based on Discrete Cosine Transform (DCT) and PCA. In this research paper, low frequency DCT components are used to normalize the illuminated image. 64 illumination conditions are taken into account. This paper provided with accuracy of 94.2% and concluded that combination of DCT with any other recognition methods provided significant illumination invariant recognition accuracy.

Thamizharasi A [8] proposed a survey paper of Analysis on Face recognition by combining multi scale techniques and Homomorphic filter using Fuzzy k nearest neighbor classifier. In this paper, DCT and Discrete wavelet transform (DWT) were the two multi scale techniques used. Homomorphic filters were used for normalization of illumination. K means clustering algorithm was applied to group the pixels in the preprocessed image based on gray-scale threshold values. Fuzzy k nearest neighbor classifier was used to classify image in the test database by calculating the Euclidean distance matrix within the train database. 2D Haar DWT at level 1 was performed on the preprocessed image for choosing the approximate coefficients at level 1. Then the clustering algorithm and classifier were used for finding the face recognition rate. High recognition rate was achieved by combining all these multiscale techniques even though they could be used individually. DCT yielded 89.5% recognition rate while DWT yielded 90% rate with Homomorphic filter, K means clustering and Fuzzy k nearest neighbor classifier. The system became more complex because of more no. of techniques and computation time would be more.

## III. PROPOSED METHOD

This paper proposed a method for feature extraction based face recognition using ELM network. The recognition process will be used for end user security in image authentication systems. Medical images are confidentially transmitted via wireless channel with higher level of security using various types of encryption/decryption algorithms. These algorithms avoid hacking of medical images while transmission across internet. But there is no security at the end user or receiver's side and thus anyone can receive the encrypted message. The encrypted key will be public means the intruder decrypts the message and gets the medical image. Hence it is necessary to provide authentication, face recognition algorithm is provided at the end users side. The flowchart describes the proposed model will be shown in the figure1.

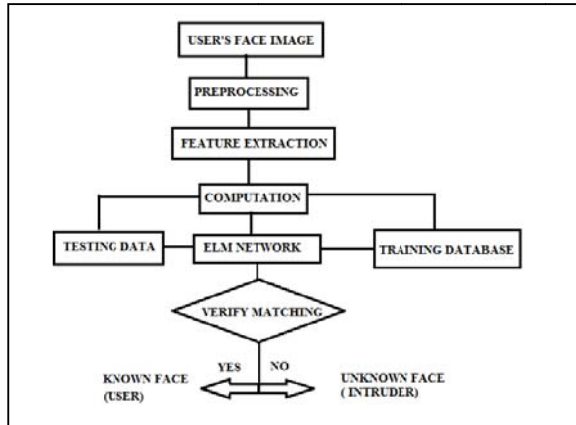


Fig. 1 : Face recognition model

Initially an RGB colour image of the user is captured using a web camera or high resolution video camera. The size of the face image is 640x480. It is represented as an array of  $m \times n \times 3$  color pixels corresponding to the red, green and blue components of an RGB image. Three dimensional RGB is converted into two dimensional binary image based on threshold values. The input face image is transformed into binary face image for retaining the important features. Background changes, illuminations are adjusted and concentrating on the face region alone. This process referred as Preprocessing for improving the quality of the image shown in the figure 2.



Fig. 2 : RGB image of user

Feature extraction is performed after preprocessing the input face image. The regions of two eyes, nostrils and mouth are located in the face image and Blob measurement properties are used in estimating the connected components in the face image. Thus the eyes, nostrils and mouth regions are located in the binary image. Then the regions are represented within the bounding boxes and filled the disconnected pixels are shown in the figure 3.



Fig. 3 : Detected Regions with bounding boxes

From the extracted regions, the following distances are measured in the face image.

*Inter-Ocular Distance* - The distance between the right eye and the left eye pixels.

*Eye to Nose Distance* - The distance between the midpoints of the line joining the eyes and the nose tip pixels.

*Eye to Mouth Distance* - The distance between the midpoint of the line joining the eyes and the center point of the mouth.

*Nose to Mouth Distance* - The distance between the nose tip and the center point of the mouth.

The above said distances are calculated from the face image and the ratios are calculated. These computed ratios are referred as features of the face image which are taken into account for recognition.

The ratios are mentioned as follows,

1. **EENR** is the ratio between the Inter-ocular distance and the Eye to Nose distance.
2. **EEMR** is the ratio between the Inter-ocular distance and the Eye to mouth distance.
3. **EENMR** is the ratio between the Inter-ocular distance and Nose to mouth distance.
4. **ENEMR** is the ratio between the Eye to Nose distance and Eye to mouth distance.

Face image	EENR	EEMR	EENMR	ENEMR
06-1m	1.1895	0.6548	1.4571	0.5505
11-1m	1.1602	0.8944	3.9055	0.7709
14-1f	1.4506	0.8730	2.1926	0.6018
15-1f	1.3810	0.9320	2.8666	0.6748
20-1m	2.8710	1.7429	4.4360	0.6070
21-1m	1.1133	0.6869	1.7936	0.6170
25-1m	1.4453	0.7610	1.6074	0.5265
28-1m	1.2863	0.8328	2.3626	0.6474
29-1m	1.3404	0.8107	2.0809	0.6048

Table 1. Database for different face images

The table 1 shows that the extracted features of the sample database images used in the recognition process.

The computed features from the face image are given as inputs to an extreme learning machine network. Number of hidden layer neurons alone is entered manually or it will be the sum of input neurons and output neurons. Input weights and biases are assigned randomly and from that output weights are calculated. The features are trained within the network for the given database. The query image is verified for matching purpose. If matching exists, the result shown as *KNOWN FACE* otherwise the result will be *UNKNOWN FACE*. Thus User verification is performed once the extracted features are matched with the database otherwise user cannot access the authority to use the resources. Figure 4 shows the simple architecture of ELM network.

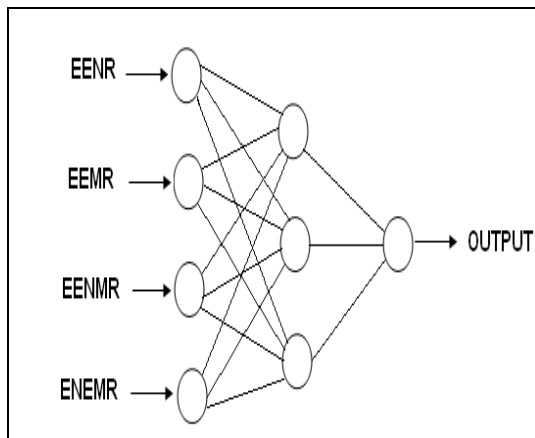


Fig. 4 : A simple ELM network

In this proposed method, 35 face images of different users are used for recognition purpose. Out of these 35 images, 9 images are taken as training data and remaining 26 images are taken for testing data. The accuracy or performance metrics of the biometric identification depends on two parameters i.e. FAR and FRR.

False Acceptance Rate or False Match Rate (FAR or FMR) is the probability that the system incorrectly matches the input pattern to a non-matching template in the database. It measures the percent of invalid inputs which are incorrectly accepted. False Reject Rate or False Non-Match Rate (FRR or FNMR) is the probability that the system fails to detect a match between the input pattern and a matching template in the database. It measures the percent of valid inputs which are incorrectly rejected.

#### IV. RESULTS AND CONCLUSION

The proposed face recognition method yields the best authentication system. This method is simple and

efficient. It deals with pixel information rather than texture information hence the accuracy will be more. The use of ELM network for training and testing the database provides fast and accurate authentication system. The measured FAR is 3.85% and FRR is 0% hence the proposed face recognition system yields a better biometric identification system using ELM network

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